

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

Applicant(s):           Gordon et al.           Case:   DIVA/245CON1  
Serial No.:           not assigned           Filed: herewith  
Group Art Unit:       unknown           Examiner: not assigned  
Title:                METHOD AND APPARATUS FOR TRANSMITTING VIDEO  
                      AND GRAPHICS IN A COMPRESSED FORM

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

INFORMATION DISCLOSURE STATEMENT UNDER  
37 C.F.R. 1.97 AND 1.98

The references cited on the attached form PTO-1449 are being called to the attention of the Examiner. Pursuant to 37 C.F.R. 1.98(d), these documents were previously cited by or submitted with a Disclosure Statement in a prior application that is relied upon by the above-identified continuation application for an earlier filing date under 35 U.S.C. 120. As such, the documents may be found in the file wrapper of U.S. patent application serial number 09/428,066, filed on October 27, 1999.

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-0782.

Respectfully submitted,

10/28/03  
Date

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<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS FOR</b> <b>APPLICANT'S INFORMATION DISCLOSURE</b> <b>STATEMENT (Use several sheets if necessary)</b>	Attorney Docket No.: <i>DIVA/245 CON 1</i> Applicant: Donald F. Gordon et al. Filing Date: <i>hereweitt</i>	Application No.: <i>not assigned</i> Group: <i>not assigned</i> <i>Page 1 of 6</i>
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Reference Designation						
U.S. PATENT DOCUMENTS						
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
1	4,290,063	09/15/1981	Traster	340	723	
2	4,437,093	03/13/1984	Bradley	340	726	
3	4,496,976	01/29/1985	Swanson et al.	358	147	
4	4,520,356	05/28/1985	O'Keefe et al.	340	750	
5	4,600,921	07/15/1986	Thomas	340	825.31	
6	4,633,297	12/30/1986	Skerlos et al.	358	22	
7	4,706,121	11/10/1987	Young	358	142	
8	4,712,239	12/08/1987	Frezza et al.	380	20	
9	4,734,764	03/29/1988	Pocock et al.	358	86	
10	4,739,318	04/19/1988	Cohen	340	750	
11	4,742,344	05/03/1988	Nakagawa et al.	340	723	
12	4,745,468	05/17/1988	Von Kohorn	358	84	
13	4,751,578	06/14/1988	Reiter et al.	358	183	
14	4,792,848	12/20/1988	Nussrallah et al.	358	86	
15	4,792,849	12/20/1988	McCalley et al.	358	86	
16	4,829,372	05/09/1989	McCalley et al.	358	86	
17	4,829,569	05/09/1989	Seth-Smith et al.	380	10	
18	4,847,825	07/11/1989	Levine	369	47	
19	4,860,123	08/22/1989	McCalley et al.	358	342	
20	4,866,770	09/12/1989	Seth-Smith et al.	380	20	
21	4,876,592	10/24/1989	Von Kohorn	358	84	
22	4,885,775	12/05/1989	Lucas	380	10	
23	4,890,321	12/26/1989	Seth-Smith et al.	380	20	
24	4,905,094	02/27/1990	Pocock et al.	358	342	
25	4,908,713	03/13/1990	Levine	358	335	
26	4,926,255	05/15/1990	Von Kohorn	358	84	
27	4,941,040	07/10/1990	Pocock et al.	358	86	
28	4,963,994	10/16/1990	Levine	358	335	
29	4,977,455	12/11/1990	Young	358	142	
30	4,991,011	02/05/1991	Johnson et al.	358	141	
31	4,994,908	02/19/1991	Kuban et al.	358	86	
32	5,014,125	05/07/1991	Pocock et al.	358	86	
33	5,034,807	07/23/1991	Von Kohorn	358	84	
34	5,038,211	08/06/1991	Hallenbeck	358	142	
35	5,057,915	10/15/1991	Von Kohorn	358	84	
36	5,058,160	10/15/1991	Banker et al.	380	20	

FORM PTO-1449 (Modified)			Attorney Docket No.: <i>DIVA 1245 CON 1</i>		Application No.: <i>not assigned</i>	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Donald F. Gordon et al.			
			Filing Date: <i>herewith</i>			Group: <i>not assigned Page 2 of 6</i>
37	5,109,279	04/28/1992	Ando	358	147	
38	5,113,496	05/12/1992	McCalley et al.	395	200	
39	5,119,188	06/02/1992	McCalley et al.	358	86	
40	5,123,046	06/16/1992	Levine	380	10	
41	5,128,752	07/07/1992	Von Kohorn	358	84	
42	5,146,210	09/08/1992	Heberle	340	709	
43	5,151,789	09/29/1992	Young	358	194.1	
44	5,191,410	03/02/1993	McCalley et al.	358	86	
45	5,195,092	03/16/1993	Wilson et al.	370	94.2	
46	5,208,665	05/04/1993	McCalley et al.	358	86	
47	5,227,874	07/13/1993	Von Kohorn	358	84	
48	5,231,665	07/27/1993	Auld et al.	380	20	
49	5,239,540	08/24/1993	Rovira et al.	370	77	
50	5,247,364	09/21/1993	Banker et al.	358	191.1	
51	5,249,044	09/28/1993	Von Kohorn	358	86	
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55	5,293,357	03/08/1994	Hallenbeck	348	734	
56	5,297,204	03/22/1994	Levine	380	10	
57	5,301,028	04/05/1994	Banker et al.	348	570	
58	5,303,295	04/12/1994	West et al.	380	10	
59	5,307,173	04/26/1994	Yuen et al.	358	335	
60	5,319,454	06/07/1994	Schutte	348	5.5	
61	5,319,707	06/07/1994	Wasilewski et al.	380	14	
62	5,335,079	08/02/1994	Yuen et al.	358	335	
63	5,353,121	10/04/1994	Young et al.	348	563	
64	5,365,282	11/15/1994	Levine	348	734	
65	5,373,330	12/13/1994	Levine	348	734	
66	5,382,983	01/17/1995	Kwoh et al.	348	716	
67	5,400,401	03/21/1995	Wasilewski et al.	380	9	
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69	5,414,448	05/09/1995	Wada et al.	345	194	
70	5,414,756	05/09/1995	Levine	379	67	
71	5,420,647	05/30/1995	Levine	348	734	
72	5,422,674	06/06/1995	Hooper et al.	348	409	
73	5,428,404	06/27/1995	Ingram et al.	348	726	
74	5,438,370	08/01/1995	Primiano et al.	348	476	

FORM PTO-1449 (Modified)			Attorney Docket No.: <i>DIVA/245 CON 1</i>		Application No.: <i>not assigned</i>	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Donald F. Gordon et al.			
			Filing Date: <i>herewith</i>		Group: <i>not assigned</i> <i>pag 3 of 6</i>	
75	5,440,632	08/08/1995	Bacon et al.	380	20	
76	5,473,609	12/05/1995	Chaney	370	94.1	
77	5,473,704	12/05/1995	Abe	382	235	
78	5,475,382	12/12/1995	Yuen et al.	340	825.72	
79	5,477,262	12/19/1995	Banker et al.	348	7	
80	5,479,266	12/26/1995	Young et al.	358	335	
81	5,479,268	12/26/1995	Young et al.	358	335	
82	5,485,221	01/16/1996	Banker et al.	348	563	
83	5,488,409	01/30/1996	Yuen et al.	348	5	
84	5,493,339	02/20/1996	Birch et al.	348	461	
85	5,502,504	03/26/1996	Marshall et al.	348	565	
86	5,508,815	04/16/1996	Levine	358	335	
87	5,515,173	05/07/1996	Mankovitz et al.	358	335	
88	5,523,794	06/04/1996	Mankovitz et al.	348	460	
89	5,523,796	06/04/1996	Marshall et al.	348	589	
90	5,532,732	07/02/1996	Yuen et al.	348	1	
91	5,532,754	07/02/1996	Young et al.	348	569	
92	5,539,391	07/23/1996	Yuen	340	825.72	
93	5,539,822	07/23/1996	Lett	380	20	
94	5,543,852	08/06/1996	Yuen et al.	348	478	
95	5,550,576	08/27/1996	Klosterman	348	6	
96	5,552,837	09/03/1996	Mankovitz	348	734	
97	5,553,123	09/03/1996	Chan et al.	379	102	
98	5,559,550	09/24/1996	Mankovitz	348	6	
99	5,559,870	09/24/1996	Patton et al.	379	107	
100	5,568,272	10/22/1996	Levine	386	48	
101	5,579,055	11/26/1996	Hamilton et al.	348	476	
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103	5,581,614	12/03/1996	Ng et al.	380	20	
104	5,592,551	01/07/1997	Lett et al.	380	20	
105	5,600,378	02/04/1997	Wasilewski et al.	348	468	
106	5,600,711	02/04/1997	Yuen	379	102	
107	5,604,528	02/18/1997	Edwards et al.	348	5.5	
108	5,619,247	04/08/1997	Russo	348	3	
109	5,619,274	04/08/1997	Roop et al.	348	461	
110	5,619,383	04/08/1997	Ngai	360	20	
111	5,621,579	04/15/1997	Yuen	386	121	
112	5,630,119	05/13/1997	Aristides et al.	395	601	

FORM PTO-1449 (Modified)			Attorney Docket No.: <u>DIVA/245 CON 1</u>		Application No.: <u>not assigned</u>	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Donald F. Gordon et al.		Filing Date: <u>herewith</u>	
					Group: <u>not assigned</u>	
113	5,644,354	07/01/1997	Thompson et al.	348	13	
114	5,659,367	08/19/1997	Yuen	348	465	
115	5,673,089	06/10/1997	Yuen et al.	604	95	
116	5,675,575	10/07/1997	Wall, Jr. et al.	370	326	
117	5,684,525	11/04/1997	Klosterman	348	12	
118	5,692,214	11/25/1997	Levine	395	833	
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120	5,710,601	01/20/1998	Marshall et al.	348	564	
121	5,715,515	02/03/1998	Akins, III et al.	455	4.1	
122	5,716,273	02/10/1998	Yuen	463	29	
123	5,724,203	03/03/1998	Kwoh et al.	360	72.3	
124	5,724,525	03/03/1998	Beyers, II et al.	395	240	
125	5,727,060	03/10/1998	Young	380	10	
126	5,731,844	03/24/1998	Rauch et al.	348	563	
127	5,751,282	05/12/1998	Girard et al.	345	327	
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129	5,757,416	05/26/1998	Birch et al.	348	6	
130	5,764,739	06/09/1998	Patton et al.	379	106.03	
131	5,771,064	06/23/1998	Lett	348	10	
132	5,790,198	08/04/1998	Roop et al.	348	460	
133	5,790,806	08/04/1998	Koperda	395	200.82	
134	5,801,753	09/01/1998	Eyer	348	13	
135	5,801,787	09/01/1998	Schein et al.	348	569	
136	5,805,204	09/08/1998	Thompson et al.	348	13	
137	5,808,608	09/15/1998	Young et al.	345	327	
138	5,809,204	09/15/1998	Young et al.	386	83	
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140	5,828,420	10/27/1998	Marshall et al.	348	564	
141	5,828,945	10/27/1998	Klosterman	455	4.2	
142	5,844,620	12/01/1998	Coleman	348	461	
143	5,850,218	12/15/1998	Lajoie et al.	345	327	
144	5,852,478	12/22/1998	Kwoh	348	734	
145	5,854,840	12/29/1998	Cannella, Jr.	380	9	
146	5,870,150	02/09/1999	Yuen	348	553	
147	5,870,474	02/09/1999	Wasilewski et al.	380	21	
148	5,880,768	03/09/1999	Lemmons	348	1	
149	5,915,068	06/22/1999	Levine	386	83	
150	5,949,476	09/07/1999	Pocock et al.	348	24	

FORM PTO-1449 (Modified)			Attorney Docket No.: <u>DIVA/245 CON 1</u>		Application No.: <u>not assigned</u>	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Donald F. Gordon et al.			
			Filing Date: <u>herewith</u>		Group: <u>not assigned</u> <u>Page 5 of 6</u>	
<u>151</u>	<u>B1 4,706,121</u>	<u>12/14/1993</u>	<u>Young</u>	<u>358</u>	<u>142</u>	
<u>152</u>	<u>Re 32,187</u> <u>(4,213,124)</u>	<u>06/17/1986</u>	<u>Barda et al.</u>	<u>340</u>	<u>706</u>	
<u>153</u>	<u>Re 35,954</u> <u>(5,297,204)</u>	<u>11/10/1998</u>	<u>Levine</u>	<u>380</u>	<u>10</u>	

#### FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
<u>154</u>						
<u>155</u>						
<u>156</u>						
<u>157</u>						
<u>158</u>						
<u>159</u>						

#### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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<u>162</u>	
<u>163</u>	
<u>164</u>	

EXAMINER \_\_\_\_\_ DATE CONSIDERED \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		DIVA/245CON1	Not yet assigned
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Applicant:	Confirmation No.:
<b>LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT</b>		Gordon	Not yet assigned
(Use several sheets if necessary)		Filing Date	Group
Examiner not yet assigned		herewith	Not yet assigned

**U.S. Patent Documents**

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	6,160,545	12/2000	Eyer et al.	345	721	
	A2	6,147,714	11/2000	Terasawa et al.	348	564	
	A3	6,141,448	10/2000	Khansari et al.	382	236	
	A4	6,061,451	5/2000	Muratani et al.	705	58	
	A5	5,926,230	7/1999	Nijima et al.	725	56	
	A6	5,639,350	8/1997	Hendricks et al.	725	116	
	A7	5,585,865	12/1996	Amano et al.	725	14	
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						
	A14						
	A15						

**Foreign Patent Documents**

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
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	B2						<input type="checkbox"/>	<input type="checkbox"/>
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	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER ART**

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	
	C2	

Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.